

01919 U.S. PTO  
112603

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**PATENT**

ATTY DOCKET NO.: 5051.621

DATE: November 26, 2003

22390 U.S. PTO  
10/723842  
112603

**UTILITY PATENT APPLICATION TRANSMITTAL LETTER  
AND FEE TRANSMITTAL FORM (37 CFR 1.53(b))**

Mail Stop PATENT APPLICATION

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Sir:

Transmitted herewith for filing under 37 CFR 1.53(b) is:

- ☒ a patent application  
☐ a Continuation ☐ a Divisional ☐ a Continuation-in-Part (CIP)  
☒ and claims the benefit of U.S. Provisional Application Serial No. 60/430,210, filed December 2, 2002

Inventor(s) or Application Identifier:

Jagdish Narayan, 4917 Springwood Drive, Raleigh, NC 27613-1034

Ashutosh Tiwari, 517 Tartan Circle, Apt. 24, Raleigh, NC 27606

Entitled: **Methods of Forming Three-Dimensional Nanodot Arrays in a Matrix**

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Enclosed are:

1. ☒ Application Transmittal Letter and Fee Transmittal Form (*A duplicate is enclosed for fee processing*)
2. ☒ 34 pages of Specification (including 64 claims)
3. ☒ 12 sheets of Formal Drawings (35 USC 113)
4. ☒ Oath or Declaration
  - a. ☒ newly executed (*original or copy*)
  - b. ☐ copy from prior application (37 CFR 1.63(d) (*for continuation/divisional*) [Note Box 6 Below]
  - c. ☐ DELETION OF INVENTOR(S) (*Signed statement deleting inventor(s) named in the prior application*)
5. ☐ Application Data Sheet. See 37 CFR 1.76
6. ☐ Incorporation By Reference (*useable if box 4b is checked*)

The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

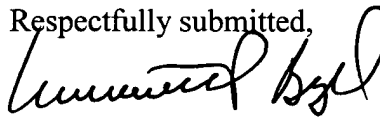
7. ☐ Microfiche Computer Program (*Appendix*)  
8. ☒ Assignment papers (*cover sheet(s) and document(s)*)  
9. ☐ Applicant claims small entity status. See 37 CFR 1.27.  
10. ☐ Information Disclosure Statement, PTO-1449, and \_\_\_\_\_ references cited  
11. ☐ Preliminary Amendment (*Please enter all claim amendments prior to calculating the filing fee.*)  
12. ☐ English Translation Document  
13. ☐ Certified Copy of \_\_\_\_\_ Application No. \_\_\_\_\_ ; Filed  
14. ☐ Sequence Listing/ Sequence Listing Diskette  
    a. ☐ computer readable copy  
    b. ☐ paper copy  
    c. ☐ statement in support  
15. ☐ An Associate Power of Attorney  
16. ☒ Return Receipt Postcard (MPEP 503) (*Should be specifically itemized*)  
17. ☐ Other:

The fee has been calculated as shown below:

	Column 1 No. Filed	Column 2 No. Extra	Small Entity Rate      Fee	Large Entity Rate      Fee
BASIC FEE			\$385.00	\$ 770.00
TOTAL CLAIMS	64 - 20 =	44	x 09 = \$396.00	x 18 = \$ 0.00
INDEP CLAIMS	8 - 3 =	5	x 42 = \$210.00	x 84 = \$ 0.00
<input type="checkbox"/> MULTIPLE Dependent Claims Presented			+ 140 = \$	+ 280 = \$
If the difference in Col. 1 is less than zero, Enter "0" in Col. 2			Total \$ 991.00	Total \$ 00.00

- ☐ A check in the amount of \$0.00 to cover the filing fee is enclosed.  
☒ A check in the amount of \$991.00 is enclosed to cover the filing fee, and a check in the amount of \$40.00 is enclosed to cover the Assignment Recordation fee.  
☐ Please charge my Deposit Account No. 50-0220 in the amount of \$\_\_\_\_\_ for the filing fee, PLUS the Assignment Recordation fee (\$40.00).  
☐ The Commissioner is hereby authorized to credit overpayments or charge the following fees associated with this communication to Deposit Account No. 50-0220:  
    a. ☐ Additional filing fees under 37 CFR 1.16 for presentation of extra claims.  
    b. ☐ Additional patent application processing fees under 37 CFR 1.17.

Respectfully submitted,



Mitchell S. Bigel

Registration No. 29,614

**CERTIFICATE OF EXPRESS MAILING**

"Express Mail" mailing label number: EV318417965US

Date of Deposit: November 26, 2003

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to Mail Stop PATENT APPLICATION, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

  
Sloan Smith